

Session 5: Discussion of additional topics 5.2 Quality assurance and ethics – introduction

Matthias Reister, <u>reister@un.org</u> Chief, Development Data Section Statistics Division, Development Data and Outreach Branch United Nations Department of Economic and Social Affairs

Workshop on the Implementation of a National Quality Assurance Framework for Official Statistics in Countries of the Latin American and Caribbean Region

Bogota, Colombia, 22-24 November 2023

The following information is from "**In-depth review of data ethics**", Prepared by Canada and the United Kingdom with contribution by Eurostat, Economic Commission for Europe Conference of European Statisticians Seventy-first plenary session Geneva, 22–23 June 2023

...plus, a few brief additional observations

Definition and practices

> No definition of data ethics is offered.

Statistics Canada created a Data Ethics Secretariat that performs ethical reviews of new projects and data acquisitions based on six guiding principles:

- 1. Benefits for Canadians;
- 2. Privacy and security;
- 3. Transparency and accountability;
- 4. Trust and sustainability;
- 5. Data quality;
- 6. Fairness and do no harm.

> UK Statistics Authority defines data ethics using the following ethical principles:

- 1. Benefit: The use of data has clear benefits for users and serves the public good;
- 2. Confidentiality, data security and consent: The data subject's identity;
- 3. Mitigate risk of technology and have human oversight
- 4. Follow existing laws and regulations
- 5. Public views: The views of the public are considered in light of the data used and the perceived benefits;
- 6. Transparency: The access, use and sharing of data is transparent, and is communicated clearly.

Definition and practices

Data ethics at Eurostat

- Eurostat finds that the European Statistics Code of Practice (CoP) and its quality framework for European statistics constitutes an ethical framework for the development, production and dissemination of European statistics.
- The following the principles are closely related to data ethics as they ensure the ethical use and the quality of data through the statistical production process:
 - 1. commitment to quality
 - 2. statistical confidentiality
 - 3. impartiality and objectivity
 - 4. sound methodology
 - 5. principles related to statistical output

From the conclusions and recommendations:

- 1. Ethical considerations are not new to official statistics but go beyond traditional topics of privacy and security
- 2. Changing circumstances make statistical office to approach data ethics in a more transparent, practical and systematic way to ensure **social acceptability** and trust
- 3. There is a need to better define and communicate what is meant by data ethics and why it is an important enabler for the use of data for statistical purposes
- 4. The other main challenge is seen in implementation and communication

Additional observations:

- 1. UN NQAF and other quality assurance frameworks cover many aspects of data ethics such as benefit to users (Principle 14), privacy and security (Principle 7), impartiality and objectivity (Principle 5 with requirement 5.2 referring to the implementation of a code of conduct or ethics), transparency (Principle 6), equal access (Principle 17).
- 2. However, there are aspects that UN NQAF does not explicitly reflect such as the consent of respondents and possible misuse of official statistics
 - Canada refers to "Fairness and do not harm"
 - UK has issued guidance on work with vulnerable groups.
- 3. Please also see International Statistical Institute (ISI) Declaration on Professional Ethics, available at https://www.isi-web.org/declaration-professional-ethics

Thank you.

The second

HERE IS IN